

# **Determinants of cropping choices and their use in CAPRI model**

Impact of the location of agricultural production  
on ecosystem services

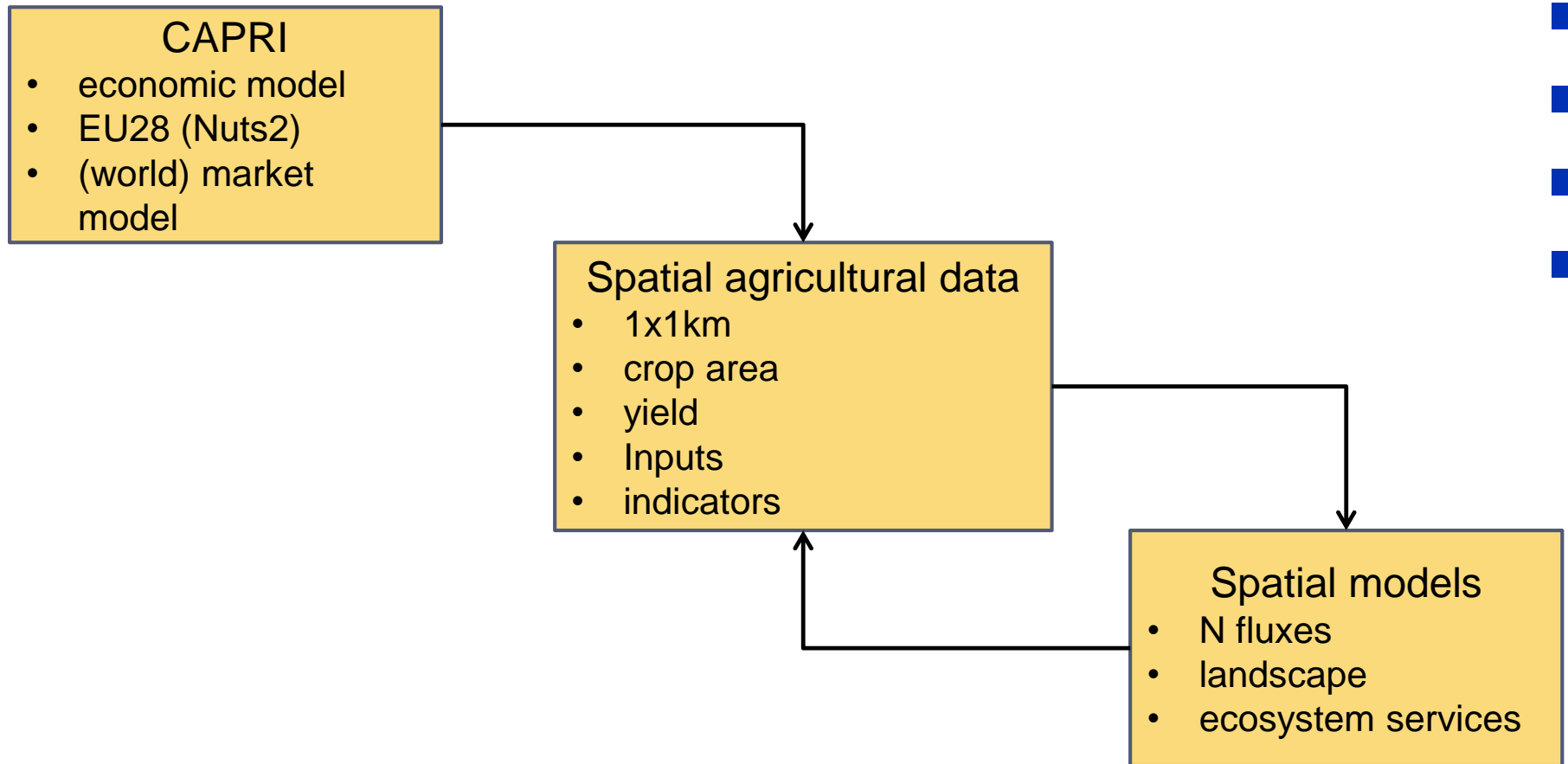
Dijon, 9. Novemberr 2015

Markus Kempen

- Introduction
- Disaggregation procedure
- Ongoing improvement

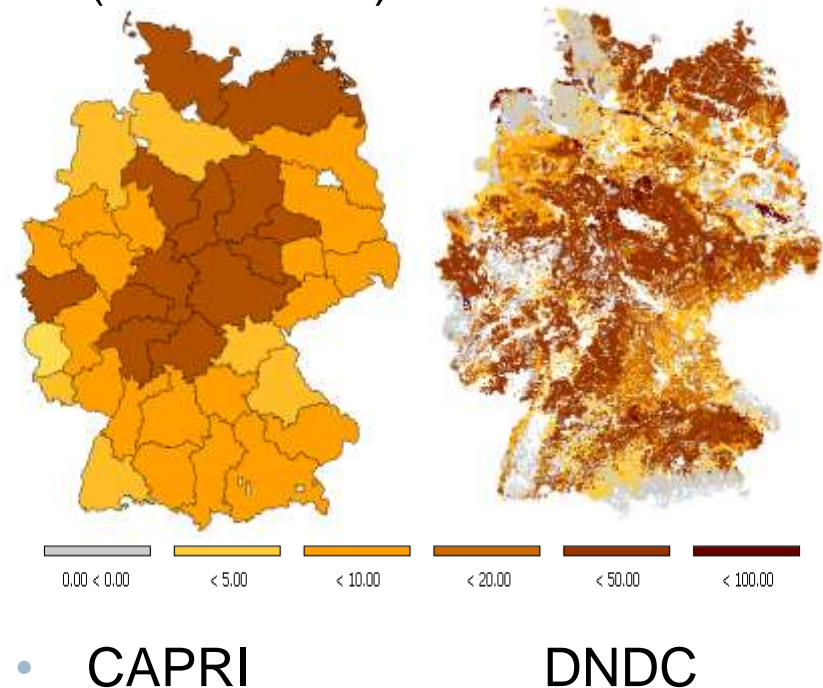
- ModelleCAPRI modeling system is designed as an instrument to check for interactions between “classical” goals of agricultural policy and environmental side-effects at aggregate regional level
- However, effect of agricultural activities on the environment is differentiated by differences in soil type, land cover and climate
- Land cover maps based on satellite images (CORINE, PELCOM) usually do not differentiate “crops”
- DNDC, a bio-physical model designed at JRC, can be employed to forecast environmental effects on small scale units (10 x10 km Grids)

# Spatial agricultural data in CAPRI system



- 2004-2007: downscaling procedure developed and implemented (University of Bonn and Joint Research Centre (JRC) Ispra).
- Since 2006: spatial data used for environmental analysis, e.g. with DNDC (JRC)
- Since 2012: update of the downscaling procedure (JRC)

- Example: land use soft wheat (% of UAAR)



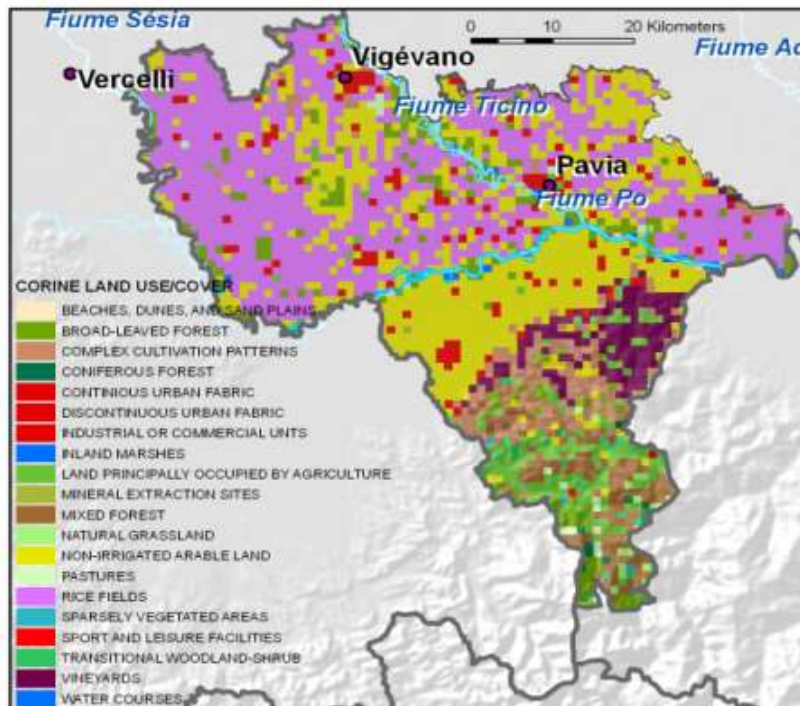
## Basic Assumptions

- Land use is determined by natural and socio-economic factors
- Information on market conditions is difficult to collect and largely invariant within an administrative region
- Heterogeneity comes mostly from natural factors where small scale data is available

## General Approach

- Definition of homogeneous spatial mapping units (HSMU) where land use is assumed to be equal (about 150.000 in EU25)
- Deriving prior information on land use in HSMU based on natural conditions
- Adjust priors until data consistent solution is found administrative region

## Corine Landcover (CLC)



### BEBAUTETE FLÄCHEN

#### STÄDTISCH GEPRÄGTE FLÄCHEN

- 111 Durchgängig städtische Prägung
- 112 Nicht durchgängig städtische Prägung

#### INDUSTRIE-, GEWERBE- UND VERKEHRSFLÄCHEN

- 121 Industrie- und Gewerbeflächen
- 122 Straßen, Eisenbahn
- 123 Hafengebiete
- 124 Flughäfen

#### ABBAUFLÄCHEN, DEPONIEREN UND BAUSTELLEN

- 131 Abbauflächen
- 132 Deponien und Abraumhalden
- 133 Baustellen

#### GRÜNFLÄCHEN

- 141 Städtische Grünflächen
- 142 Sport- und Freizeitanlagen

### LANDWIRTSCHAFTLICHE FLÄCHEN

#### ACKERFLÄCHEN

- 211 Nicht bewässertes Ackerland

#### DAUERKULTUREN

- 221 Weinbauflächen
- 222 Obst- und Beerenobstbestände

#### GRÜNLAND

- 231 Wiesen und Weiden

#### HETEROGENE LANDWIRTSCHAFTLICHE FLÄCHEN

- 242 Komplexe Parzellenstrukturen
- 243 Landwirtschaft und natürliche Bodenbedeckung

### WÄLDER UND NATURNAHE FLÄCHEN

#### WÄLDER

- 311 Laubwälder
- 312 Nadelwälder
- 313 Mischwälder

#### STRAUCH- UND KRAUTVEGETATION

- 321 Natürliches Grünland
- 322 Heiden und Moorheiden
- 324 Wald-Strauch-Übergangsstadien

#### OFFENE FLÄCHEN OHNE MIT GERINGER VEGETATION

- 331 Strände, Dünen und Sandflächen
- 332 Felsflächen ohne Vegetation
- 333 Flächen mit spärlicher Vegetation
- 334 Brandflächen
- 335 Gletscher und Dauerschneegebiete

#### FEUCHTFLÄCHEN

##### FEUCHTFLÄCHEN IM LANDESINNERN

- 411 Sümpfe
- 412 Torfmoore

##### FEUCHTFLÄCHEN AN DER KÜSTE

- 421 Salzwiesen
- 423 In der Gezeitenzone liegende Flächen

#### WASSERFLÄCHEN

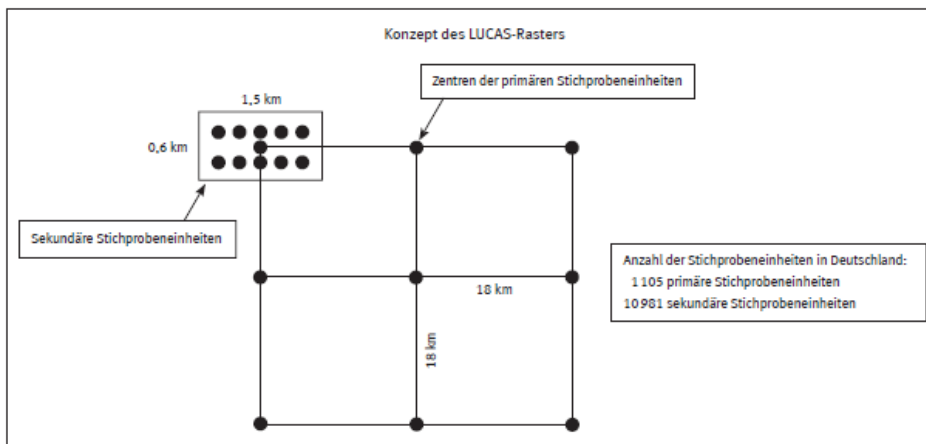
##### WASSERFLÄCHEN IM LANDESINNERN

- 511 Gewässerläufe
- 512 Wasserflächen

##### MEERESGEWÄSSER

- 521 Lagunen
- 522 Mündungsgebiete
- 523 Meere und Ozeane
- Flächen außerhalb des Bearbeitungsgebietes

## Flächenstichprobe Land Use/Cover Area Frame Statistical Survey (LUCAS)



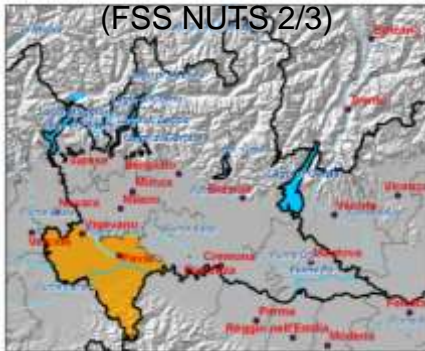
Ebene 1	Beschreibung	Ebene 2	Beschreibung	Ebene 3	Beschreibung
A	Bebautes Land	A1	Bebaute Fläche	A11	Gebäude mit ein bis drei Stockwerken
		A2	Unbebaute künstliche Fläche	A12	Gebäude mit mehr als drei Stockwerken
B	Ackerland	B1	Getreide	A13	Gewächshäuser
				A21	Unbebaute Flächenelemente
				A22	Unbebaute lineare Elemente
				B11	Weizen
				B12	Hartweizen
				B13	Gerste
				B14	Roggen
				B15	Hafer
				B16	Mais
				B17	Reis
				B18	Sonstiges Getreide
		B2	Hackfrüchte	B21	Kartoffeln
				B22	Zuckerrüben
				B23	Sonstige Hackfrüchte
		B3	Handelsgewächse	B31	Sonnenblumen
				B32	Raps und Rübensamen
				B33	Soja
				B34	Baumwolle
				B35	Sonstige Faser- und Ölpflanzen
				B36	Tabak
				B37	Sonstige Handelsgewächse
		B4	Hülsenfrüchte, Gemüse und Blumen	B41	Hülsenfrüchte
				B42	Tomaten
				B43	Sonstiges Frischgemüse
				B44	Blumen und Zierpflanzen
				B45	Erdbeeren
		B5	Wechselgrünland und Futterwiesen	B50	Wechselgrünland und Futterwiesen
		B6	Brache	B60	Brache
		B7	Dauerkulturen: Obstgehölze	B71	Apfel
				B72	Birnen
				B73	Kirschen
				B74	Nussbäume
				B75	Sonstige Obstgehölze
				B76	Orangen
				B77	Sonstige Zitrusfrüchte
		B8	Sonstige Dauerkulturen	B81	Olivehaine
				B82	Rebflächen
				B83	Baumschulen
				B84	Handelsgewächse – Dauerkulturen
C	Wald	C1	Sonstige Fläche	C11	Laubwald
		C2	Sonstige Waldfläche	C12	Nadelwald
				C13	Mischwald
D	Buschland			C21	Sonstige Laubwaldfläche
				C22	Sonstige Nadelwaldfläche
E	Dauergrünland			C23	Sonstige Mischwaldfläche
				D01	Buschland mit spärlicher Baumbedeckung
F	Vegetationsarmes Land			D02	Buschland ohne Baumbedeckung
				E01	Dauergrünland mit spärlicher Baum-/Strauchbedeckung
G	Wasser und Feuchtgebiete			E02	Dauergrünland ohne Baum-/Strauchbedeckung
				F00	Vegetationsarmes Land
				G01	Stehende Binnengewässer
				G02	Fließende Binnengewässer
				G03	Küstengewässer
				G04	Feuchtgebiete
				G05	Gletscher und Dauerschneegebiete



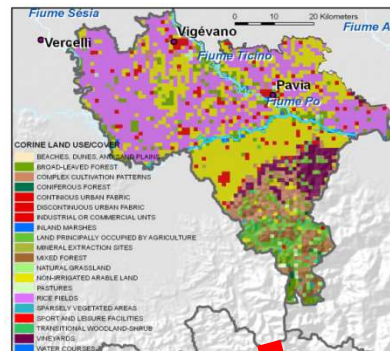
- The HSMU is regarded as similar both in terms of agronomic practices and the natural environment, embracing conditions that lead to similar farming and environmental situations
- Sub-units of Nuts3 regions
- Trade-off between level of detail and computation resources
- HSMU's are constructed from four data sources...

# Homogeneous Spatial Mapping Units

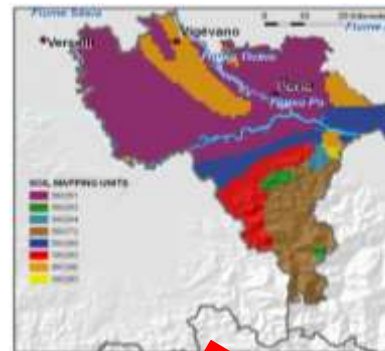
Administrative  
boundary  
(FSS NUTS 2/3)



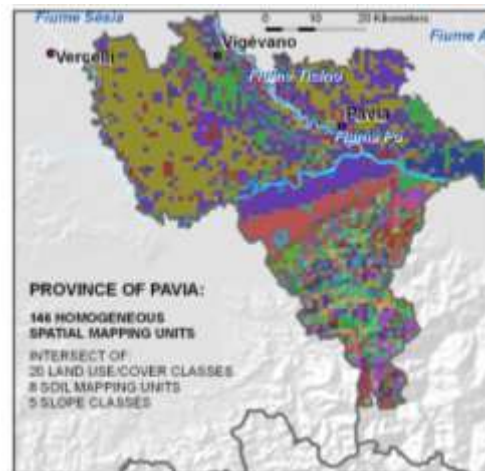
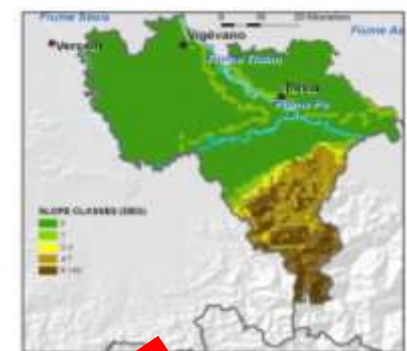
Soil cover  
(Corine)



Soil type  
(European Soil Database)

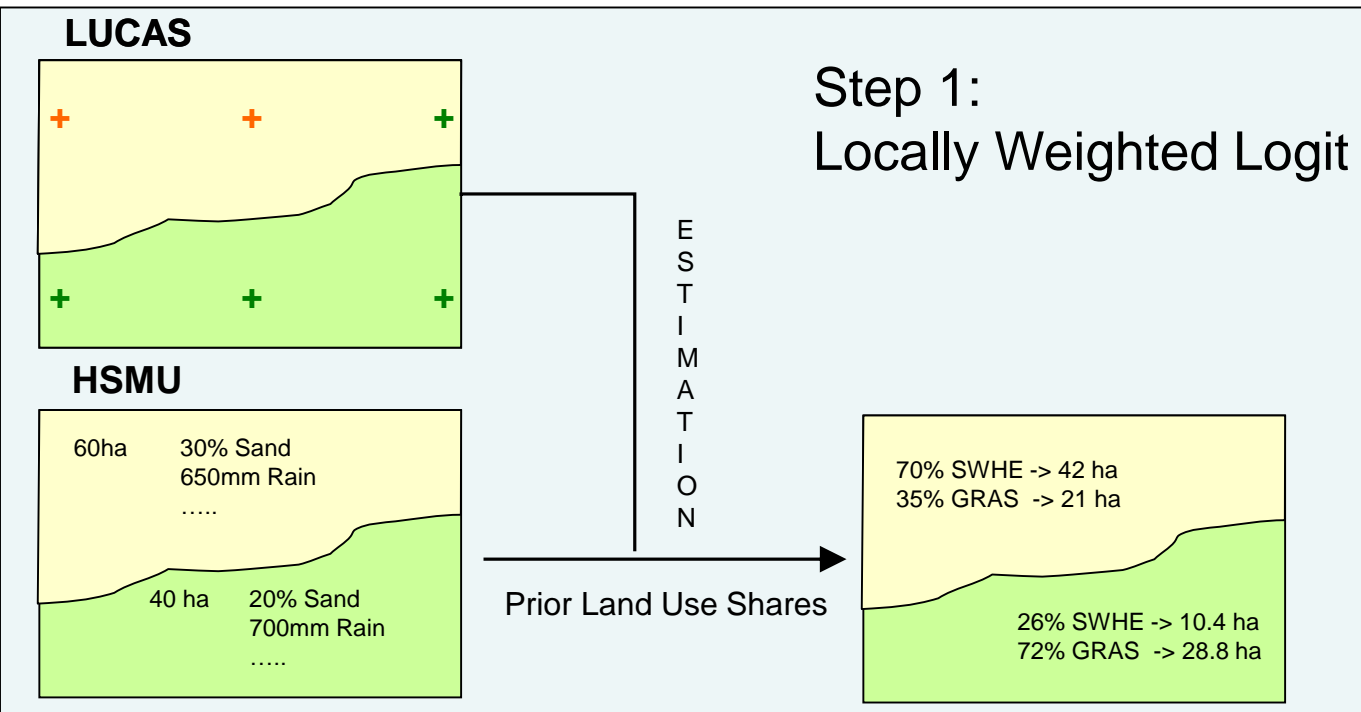


slope  
(Digital Elevation Model)



Homogeneous Spatial Mapping Units (HSMU)

# Estimating land use shares



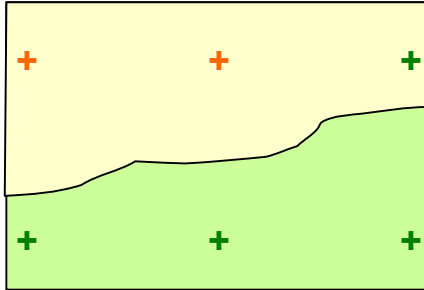
$$\mathbf{Y} = f(\mathbf{X})$$

Land use share  
of 31 crops

- soil type (World Reference Base)
- drainage
- presence of stones
- slope
- elevation
- rainfall
- sum of temperature

# Locally weighted logit model

## LUCAS



GRAS  
25% Sand  
700mm Rain  
.....

SWHE  
30% Sand  
680mm Rain  
.....

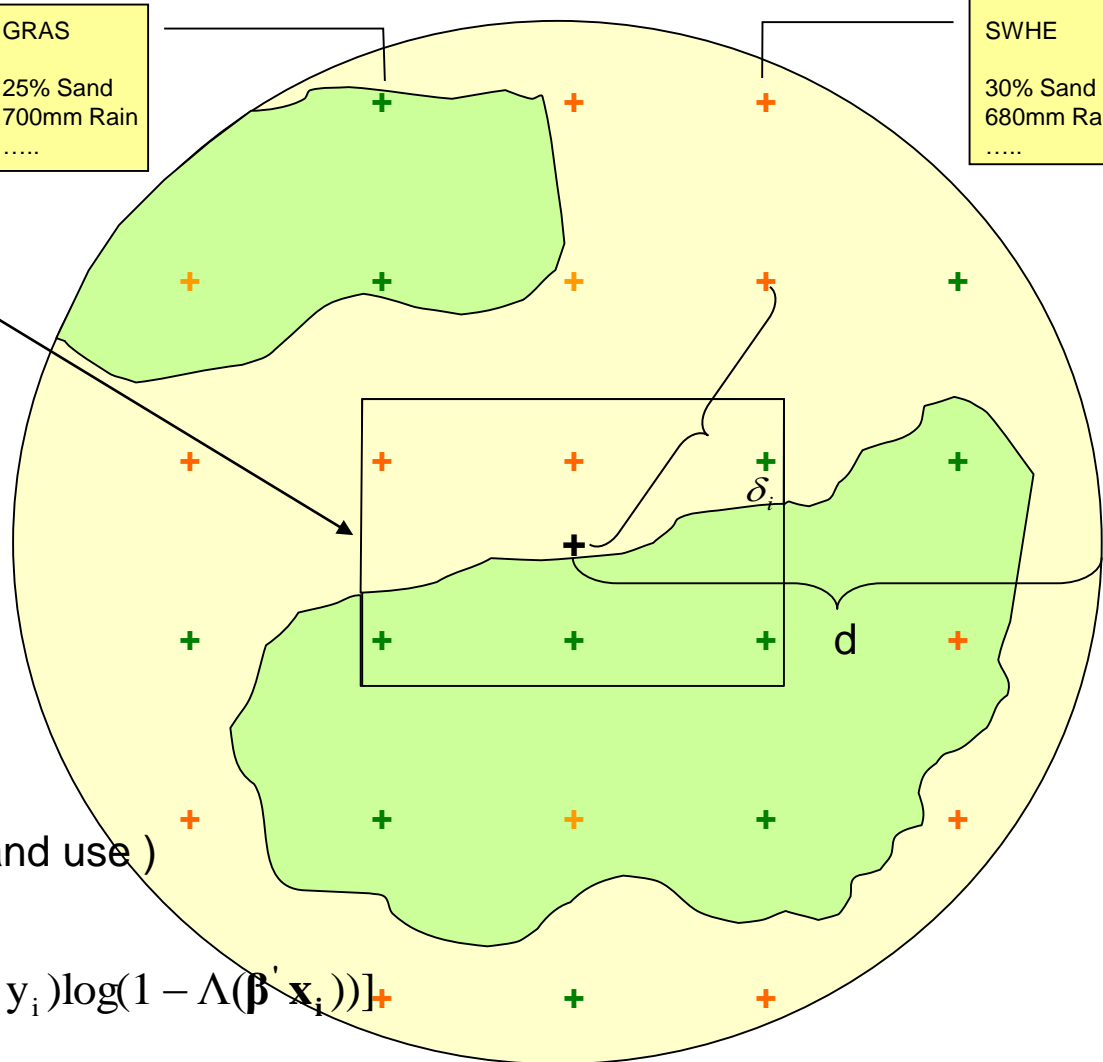
Weighting Function:

$$\omega_i = \left[ 1 - \left( \frac{\delta_i}{d} \right)^3 \right]^3 I(\delta_i < d)$$

Locally Weighted Logit :

$$\Lambda(\beta' \mathbf{x}_i) = \frac{e^{\beta' \mathbf{x}_i}}{1 + e^{\beta' \mathbf{x}_i}} \quad (= \text{share of land use})$$

$$\log L = \sum_{i=1}^n \omega_i [y_i \log(\Lambda(\beta' \mathbf{x}_i)) + (1 - y_i) \log(1 - \Lambda(\beta' \mathbf{x}_i))]$$



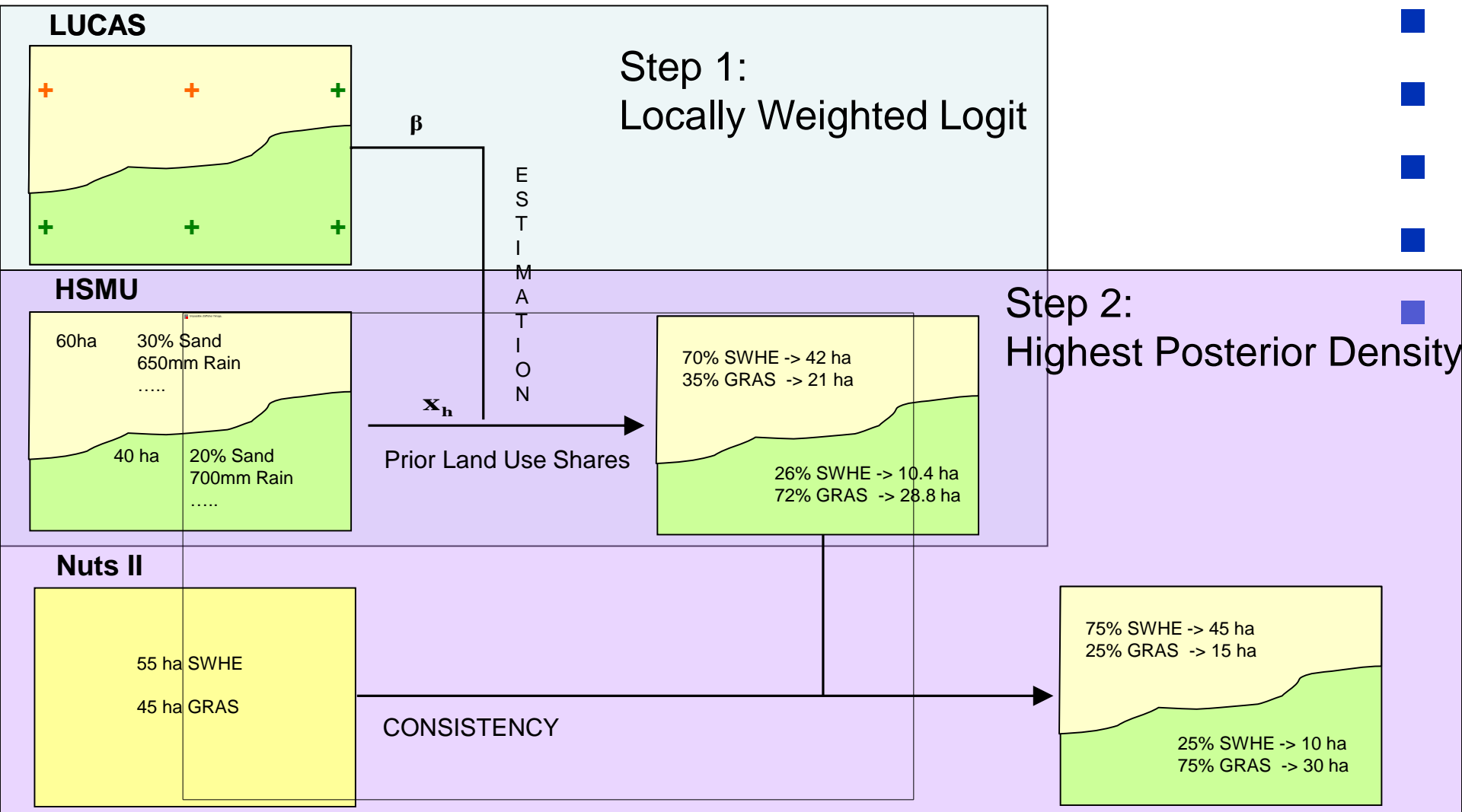
estimate a model for each

- Nuts2
- Corine class
- crop

while determining

- Optimal bandwidth
- Significant explanatory variables

# Estimating land use shares



# Consistent HPD solution

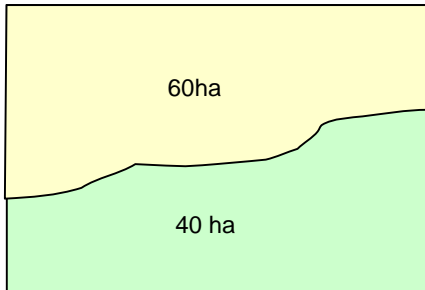
Data consistent shares  $Y_{c,h}^{con}$  for all Crops  $c$  and HSMU  $h$ :

$$\max - \sum_c \sum_h \left[ \log(\sqrt{2\pi}\sigma_{c,h}) + \frac{(Y_{c,h}^{con} - \hat{Y}_{c,h})^2}{2\sigma_{c,h}^2} \right]$$

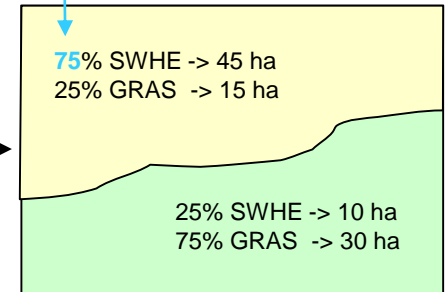
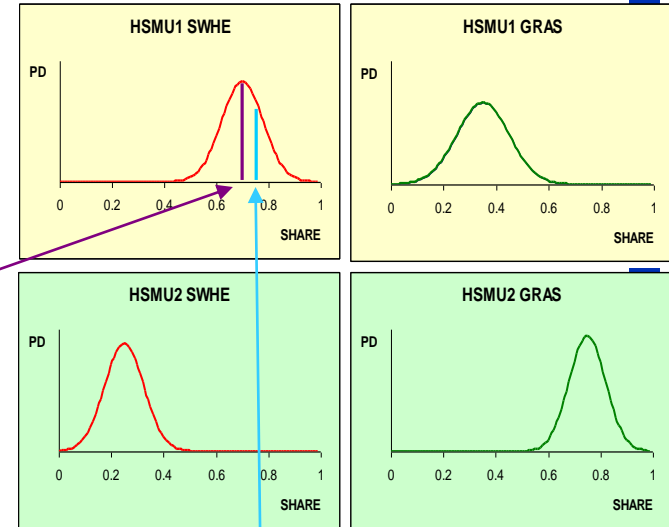
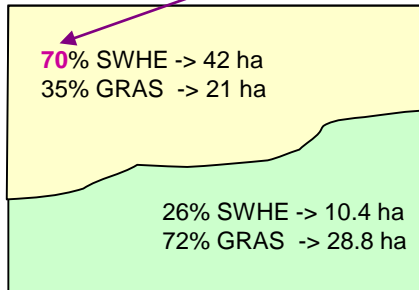
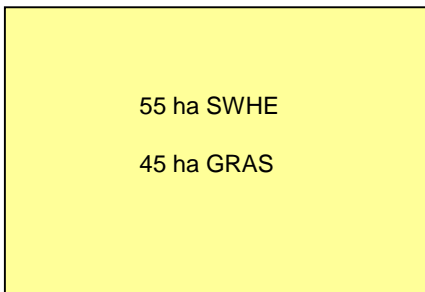
$$\text{s.t. } \sum_h Y_{c,h}^{con} A_h = A_c$$

$$\sum_c Y_{c,h}^{con} = 1$$

**HSMU**



**Nuts II**



# Consistent HPD solution

Administrative data forces significant correction of apriori information

		mean	Standart devialtion	Consistent solution aggregate data
HSMU 1 (pasture)	gras	29	4.3	18
	wheat	10	1.6	22
	total			40
HSMU 2 (arable)	gras	21	2.1	2
	wheat	42	4.2	58
	total			60
Administrative Region	gras	(52)		20
	wheat	(50)		80



# Consistent HPD solution

Very low standard deviation

mean			Standard-deviation	Consistent solution aggregate data
HSMU 1 (pasture)	gras	29	4.3	0
	wheat	10	1.6	40
	total			40
HSMU 2 (arable)	gras	21	0.2	20
	wheat	42	4.2	40
	total			60
Administrative Region	gras			20
	wheat			80

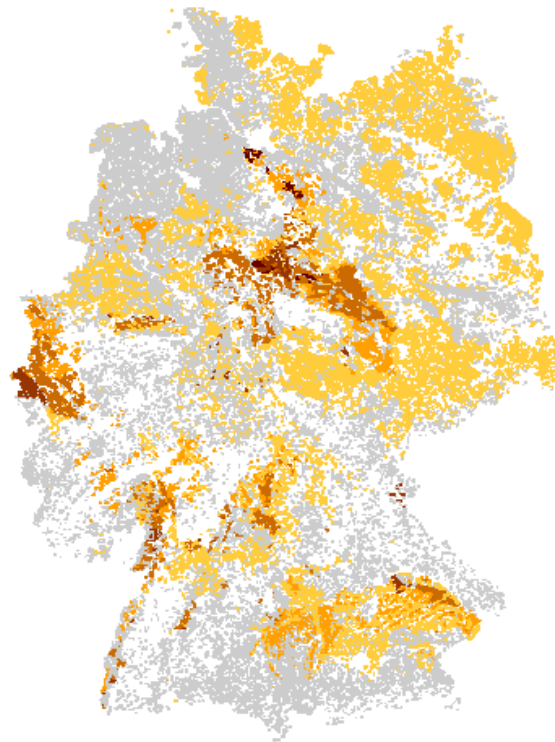
- HPD framework uses all information on expected mean and standard deviation
- Standard deviation can have significant impact on final solution.
- Methods to derive standard deviation (asymtotic, numerical, bootstrap) are important.

- **Crop shares in Germany**

wheat



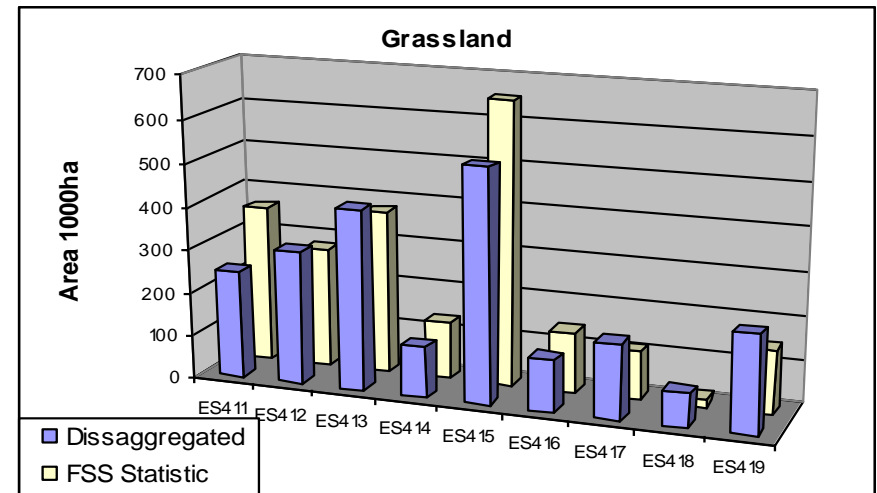
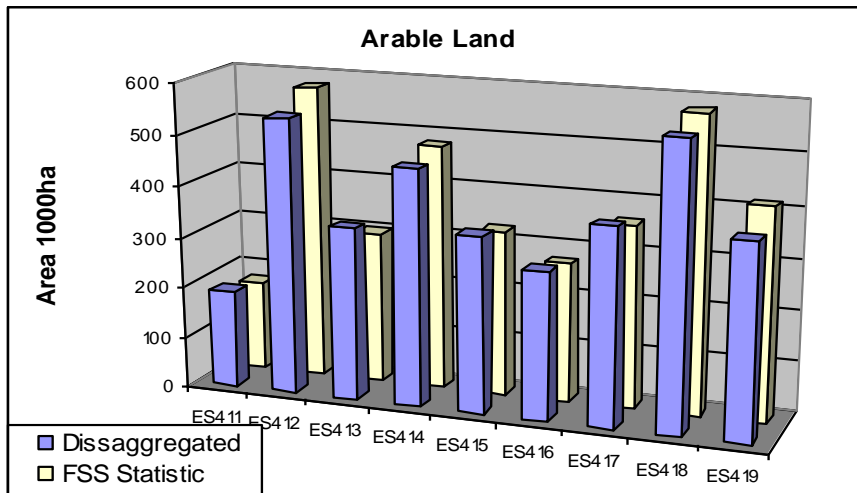
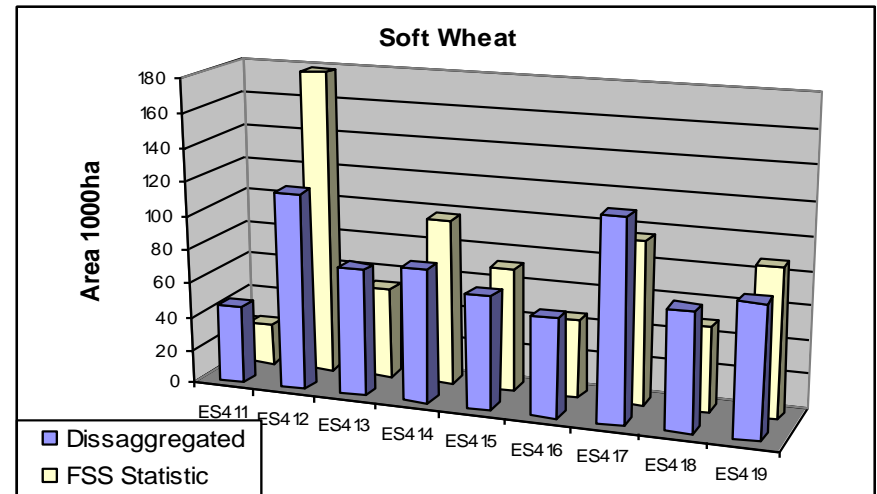
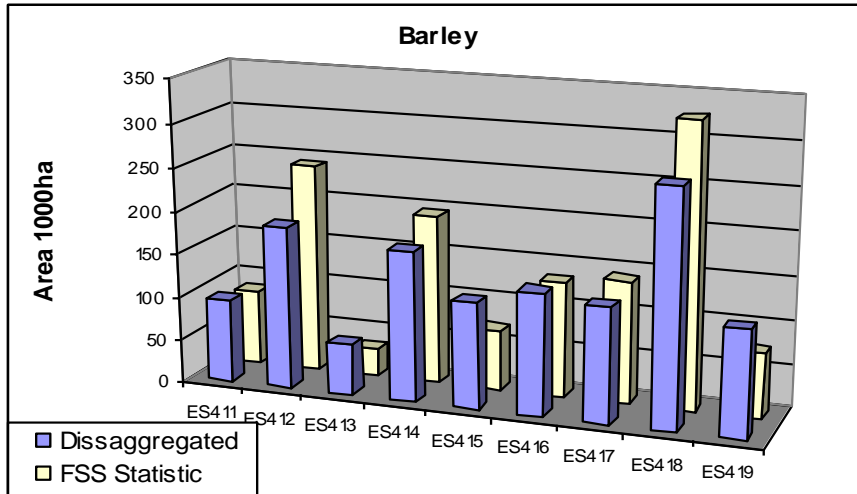
sugar beet



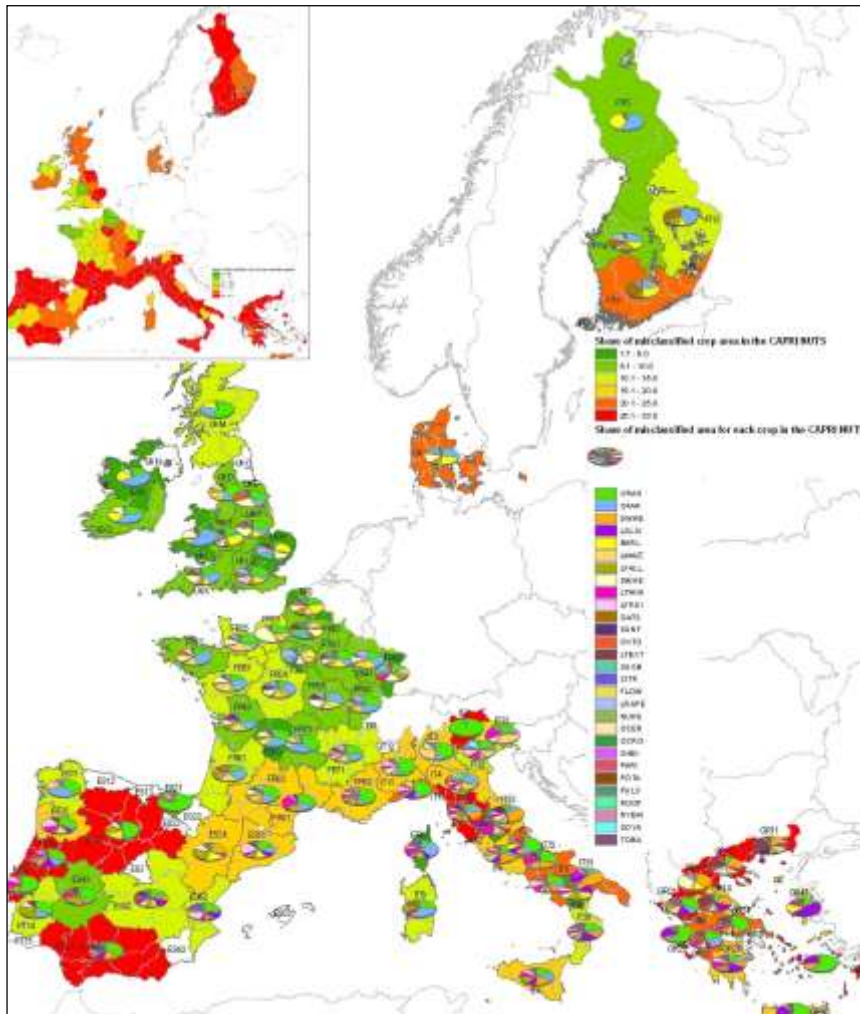
grassland



# Validation at Nuts3



## Validation at Nuts3



- Framework to break down land use to lower spatial scale generally works
- Shares of crops follow actual distribution
- Spatial statistics allow to capture some effects implicitly
- Highest Posterior Density Estimator allows transparent inclusion of information on uncertainty
- Variances derived from the finite sample improve on average the final result

HPD framework using prior information from:

Yield:

- MARS CGMS yield forecast as prior information
- Irrigation data

Animal herds:

- Based on regression model

N fertilizer:

- Spatial yield expectation
- Soil specific fertilizer recommendation (DEFRA)
- Manure/Animal herds

Other inputs:

- Spatial yield
- Soil type

## Nitrogen fluxes:

- Leip A, Achermann B, Billen G, Bleeker A, Bouwman A F, de Vries W, Dragosits U, Döring U, Fernall D, Geupel M, Heldstab J, Johnes P, Le Gall A C, Monni S, Nevečeřal R, Orlandini L, Prud'homme M, Reuter H I, Simpson D, Seufert G, Spranger T, Sutton M A, van Aardenne J, Voß M and Winiwarter W 2011a Integrating nitrogen fluxes at the European scale European Nitrogen Assessment ed M Sutton, C Howard, J W Erisman, G Billen, A Bleeker, H van Grinsven, P Grennfelt and B Grizzetti (Cambridge, UK: Cambridge University Press) pp 345–76 Online: <http://www.nine-esf.org/ENA-Book>.
- Leip A, Busto M and Winiwarter W 2011b Developing spatially stratified N<sub>2</sub>O emission factors for Europe. Environ. Pollut. 159 3223–32 doi:10.1016/j.envpol.2010.11.024
- Hutchings N J, Reinds G J, Leip A, Wattenbach M, Bienkowski J F, Dalgaard T, Dragosits U, Drouet J L, Durand P, Maury O and de Vries W 2012 A model for simulating the timelines of field operations at a European scale for use in complex dynamic models Biogeosciences 9 4487–96 doi:10.5194/bg-9-4487-2012
- Follador M, Leip A and Orlandini L 2011 Assessing the impact of Cross Compliance measures on nitrogen fluxes from European farmlands with

DNDC-EUROPE. Environ. Pollut. 159 3233–42 doi:10.1016/j.envpol.2011.01.025

## Landscape indicators

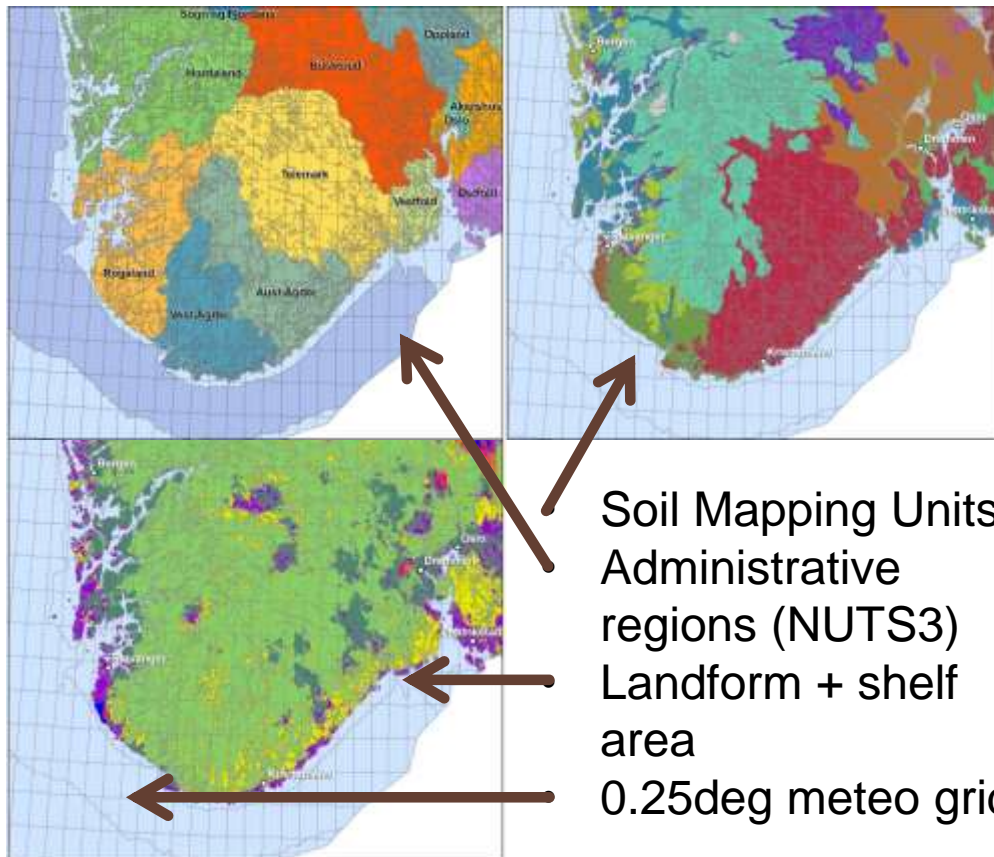
## Energy flows

## Why NEW HSU2???

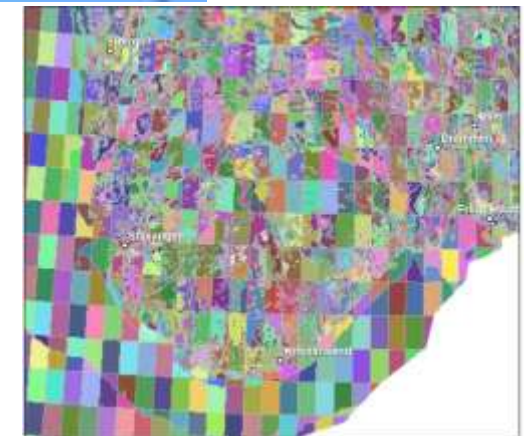
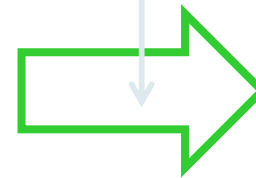
- The old HSMU-layer worked fine, ....
- BUT
  - White holes in the map (e.g. Norway...)
  - Cumbersome linkage to meteo-data (NitroEurope experience)
  - Applications of “CAPRI” data go quickly beyond CAPRI-domain...
  - Some improved delineation procedures
    - Arbitrary slope classes – no altitude
    - Non-contingent HSMU sometimes ‘far away’
    - Corine no ‘static’ characteristic



# Delineation of the HSU2



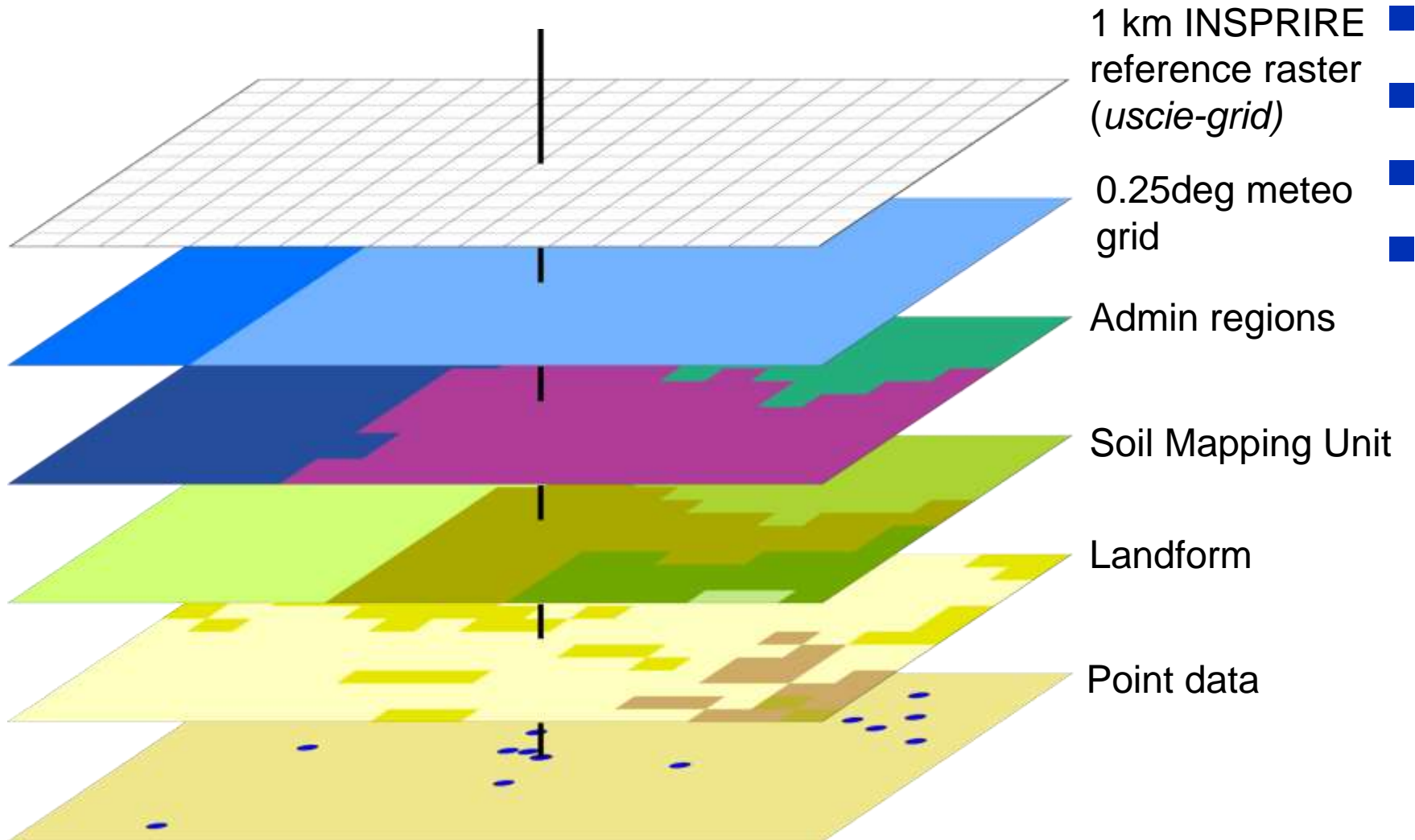
go-nogo-filter:  
flagging HSU2  
for ecosystem  
research



199901 units for EU28 +  
318875 units non-EU28



# The HSU2-layers



## Ongoing update of prior estimation

- inclusion of recent LUCAS data
- methodological improvement (multinomial)
- statistical software packages

..... Questions ????